Texas Claim EDI Release 3.1.4 Test Plan

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Summary

Texas Claim Electronic Data Interchange (EDI) Release 3.1.4 reporting was implemented on July 26, 2023. All licensed Texas workers' compensation insurance carriers (carriers) or their agents must successfully complete claim EDI Release 3.1.4 testing with Verisk before filing claim EDI reports to DWC.

Trading partners that are not carriers and EDI venders must receive approval to submit data from a carrier before initiating the testing process. A trading partner is a carrier or a claim administrator that files claim EDI to the DWC directly or through an EDI Vendor.

Testing Requirement

- Trading partners that file directly through Secure File Transfer Protocol (SFTP) are required to test. All carriers registered under the trading partner are concurrently approved when the trading partner is approved to file production reports.

- EDI vendors filing on behalf of a trading partner are required to test. All trading partners registered under the EDI vendor are concurrently approved when the EDI vendor is approved by DWC to file production reports.

- Small trading partners that choose to report claims using Verisk's Web Entry Portal are not required to test.

Test Stages

There are five stages to the DWC Test Plan:

Stage 1   Complete EDI Trading Partner Registration.

Stage 2   Pre-test and technical capability test.
                To ensure proper file structure.

Stage 3   Business content test for First Report of Injury (FROI).
                To test FROI requirements. Verisk will return TA, TE, or TR. DWC expects TE and TR to be corrected and re-filed.

Stage 4   Business Content Test for SROI.
                To test SROI requirements using TA from the FROI testing. Verisk will return TA, TE, or TR. DWC expects TE and TR to be corrected and re-filed.

Stage 5   DWC reviews approves trading partner for production if appropriate. DWC may ask for more testing.

Stage 1: Complete EDI Trading Partner Registration

Go to the DWC EDI website at https://www.txdwcedi.info and select **Trading Partner Profile**. The trading partner should review the instructions and complete the required information. The detailed information for each of the Texas Workers' Compensation Carriers and claim administrators that the trading partner will file reports for should be gathered before starting.

DWC will review the registration and approve, if appropriate. DWC will verify that carriers and claim administrators are appropriately licensed by the Texas Department of insurance.

Verisk will respond to the primary contact when DWC approves the registration.

Stage 2: Pretest and Technical Capability Test

The trading partner submits at least one batch with FROI Original (MTC 00) followed by another batch with at least one SROI Initial Payment (IP) (MTC IP) or equivalent.

Each batch must contain:
- An appropriate header record.
- One or more transaction records with correct record formats.
- An appropriate trailer record indicating the correct number of records in the batch.

Pretest and Technical Capability Pointers

This section provides tips on the IAIABC Claims Release 3.1.4 file structure and DWC's expectations.

Transmission method
DWC's receipt of FROI and SROI files must coincide with the method approved on the DWC EDI Trading Partner Profile Registration.

Header (HD1)
- Header: The header must have proper information and coincide with the method approved on the DWC EDI Trading Partner Profile Registration.

- Test/Production indicator (DN0104): During all testing, position 82 of the header record should be set to 'T.' Data will be processed through DWC's testing database.

IAIABC						DWC
DN	HD1 Data Element Name	Format	Length	Beg	End	Value
0001	Transaction Set ID	A/N	3	1	3	HD1
0098	Sender FEIN (Part of Sender ID)	A/N	9	4	12	*
0098	Filler - Future Defined Usage (Part of Sender ID)	A/N	7	13	19	Spaces
0098	Sender Postal Code (Part of Sender ID)	A/N	9	20	28	*
0099	Receiver FEIN (Part of Receiver ID)	A/N	9	29	37	**746000119**
0099	Filler - Future Defined Usage (Part of Receiver ID)	A/N	7	38	44	Spaces
0099	Receiver Postal Code (Part of Receiver ID)	A/N	9	45	53	**787047491**
0100	Date Transmission Sent	DATE	8	54	61	**
0101	Time Transmission Sent	TIME	6	62	67	**
0102	Original Transmission Date	DATE	8	68	75	Spaces
0103	Original Transmission Time	TIME	6	76	81	Spaces
0104	Test/Production Code	A/N	1	82	82	T
0105	Batch Type Code (Part of Interchange Version ID)	A/N	3	83	85	148 for FROI Or A49 for SROI
0105	Release Number (Part of Interchange Version ID)	A/N	1	86	86	3
0105	Version Number (Part of Interchange Version ID)	A/N	1	87	87	1

* Include the appropriate information under the approved Trading Partner Profile.
** Include the appropriate date under the IAIABC standards.

- Trailer (TR2): Make sure you have the proper transaction count and detailed record count.

- 148 Record: Make sure you have the proper file length (913 bytes).

- R21, A49, R22 records: Make sure you have the proper file length dependent on variable segments.

- Transmission Filing Method: A Trading Partner's receipt of the batch coincides with the Electronic Registration Process. The Trading Partner must review the batch that DWC returns to get the status of the transactions.

- Batch format of files is correct: This means that each batch contains an appropriate header record, one or more transaction records, a trailer record, and that the number of records sent matches the record/transaction numbers indicated in the trailer.

- Valid formatted data: Make sure that the data has a valid format for all data fields. For example, the date of injury must be in date format, date of injury cannot be a date in the future, values in code fields must be valid for Texas.

Stage 3: Business Content Test (FROI)

FROIs submitted will be tested against the Texas requirements. The FROI files accepted will be used in the SROI testing stage. The trading partner should file enough FROIs to be able to test every kind of SROI in **Stage 4**.

DWC FROI MTCs required for testing are as follows:

00 01 02 04 CO UR

FROI Test Requirements for Test Batches

FROI Test Requirements for Batch 1
Trading Partners need to submit initial business content test transmissions for Batch 1 as follows:

1. At least 10 Original transactions (FROI MTC 00), including:
   a. One FROI 00 with an invalid data element that should cause a TR (for example, invalid Nature of Injury Code).

   b. One FROI 00 with a missing required data element that should cause a TR (for example, missing Employee Last Name).

   c. Two FROI 00 with an invalid data element that should cause a TE (for example, any element defined as "AE" in the DWC Element Requirement Table).

   d. One FROI 00 with multiple Part of Body Injured Codes, using Body Part Injured Location Code on at least one and Part of Body Injured Fingers/Toes Location Code on at least one body part.

   e. Five (5) FROI 00s that get a TA status.

2. At least two FROI Denials (MTC 04).
   a. One without a DWC Claim Number JCN DN0005.
   b. One without a DWC Claim Number a DWC Claim Number JCN DN000.
   c. One full denial for No Coverage or No Jurisdiction.

3. At least five FROI Update Report (MTC URs) with no errors that will get a TA. These must be real claims.

4. At least two FROI Update Report (MTC URs) that will cause a TE. These must be real claims.

FROI Test Requirements for Batch 2

Trading partners need to submit a second business content test transmission with the following transactions as soon they can schedule it:

1. At least two Original (MTC 00) with no errors.

2. At least two Original (MTC 00) to correct invalid data from a TR transaction submitted in Batch 1.

3. At least two Corrections (MTC COs) to correct invalid data from a TE transaction submitted in Batch 1.

4. At least four FROI Changes (MTC 02):
   a. One to Update a match data element from a Batch 1 FROI, such as Employee First or Last Name or Date of Injury.
   b. One to Add a non-match data element (not in a variable segment).
   c. One to Remove a non-match data element (for example, Employee Phone Number) from a Batch 1 FROI.
   d. One to Delete a variable segment in an FROI variable segment (for example, one of the Part of Body Injured Codes) from a Batch 1 FROI.

5. At least one Cancel Entire Claim (MTC 01) with the JCN from a Batch 1 FROI.

The Trading Partner should submit additional business content test transmissions as needed to complete the test with consideration of SROI testing.

On completion of FROI Batch 1 and 2, the Trading Partner must list claims that passed edits on the **Texas Claims Release 3.1.4 EDI Testing List** and send the list to txdwcedi@verisk.com for approval.

DWC will review the registration and approve, if appropriate.

Stage 4: Business Content Test (SROI)

Test Stage 4 ensures that the Trading Partner meets the following SROI testing requirements. Trading Partners will use their approved FROI batches to complete the SROI testing.

SROI Test Requirements for Test Batches

The SROI test MTCs listed must be submitted per the sequencing requirements to satisfy the MTCs required for the test. Trading Partners must submit complete data for all required data elements.

DWC SROI MTCs required for testing are as follows:

02 04 CA CB CD CO EP ER IP NT PD PY RB SX UI UR VE

1. At least five SROI (MTC UR) transactions with no errors (TAs) for JCNs previously accepted in R1 to set the picture for R3.1.4.

2. At least two SROI (MTC UR) transactions resulting in TEs.

3. At least two SROI (MTC IP) transactions with no errors (TAs). One IP should be for 050 Temporary Total benefits.

4. One SROI MTC IP with an invalid data element that should cause a TR (for example, invalid Benefit Type Code).

5. One SROI MTC Under Investigation (UI).

6. One SROI MTC Compensable Death with No Known Dependents/Payees (CD).

7. Two SROI MTC COs to correct errors from a TE transaction.

8. One SROI MTC Employer Paid Salary In Lieu Of Compensation (EP).

9. One SROI MTC Volunteer (VE).

10. One SROI MTC Narrative for Claim (NT).

11. One SROI MTC CA to change the Average Wage, Calculated Weekly Compensation Amount, Gross and Net Weekly Amount for Benefit Type Code 050 (Temporary Total) after an IP is accepted.

12. One SROI MTC CB to Change Benefit Types to 070 (Temporary Partial) after an IP is accepted.

13. One SROI MTC CB to Change Benefit Types to 030 (Permanent Partial) after an IP is accepted.

14. One SROI MTC CA to report Reduced Earnings after 070 (Temporary Partial) benefits are accepted.

15. One SROI MTC PY to report a Lump Sum of Permanent Partial benefits (030) with DN0293 Lump Sum Payment/Settlement Code = NS - Non-Specified Lump Sum Payment.

16. One SROI MTC PY to report a Lump Sum Payment to the Subsequent Injury Fund with DN0293 Lump Sum Payment/Settlement Code = NS - Non-Specified Lump Sum Payment.

17. One SROI MTC PY to report that a Lump Sum Payment is made because of a benefit dispute agreement for Indemnity Benefits with DN0293 Lump Sum Payment/Settlement Code = AS - Agreement Stipulated.

18. At least four SROI Change transactions (MTC 02):
    a. one to Update a non-match data element.
    b. one to Add Reduced Benefit Amount Code D to reduce a benefit amount previously reported.
    c. one to Remove a non-match data element (for example, Initial Date Employer Had Knowledge of Date of Disability).
    d. one to Delete a variable segment (for example, Other Benefit Type Code (OBT) and amount) sent in error.

19. One SROI MTC 04 Denial to totally deny a claim at some point after the IP accepted.

20. One SROI MTC PD to Partially Deny a claim with DN0294 Partial Denial Reason Code = A - Denying Indemnity in Whole, but not Medical.

21. One SROI MTC SX to Suspend Benefits after the IP is accepted.

22. One SROI MTC SX to Suspend Benefits after the EP is accepted.

23. One SROI MTC RB to Reinstate Benefits after the SX is accepted.

24. One SROI MTC ER to Reinstate Employer Paid Benefits after the SX is accepted.

On completion of SROI testing, the trading partner must list claims that passed edits on the **Texas Claims Release 3.1.4 EDI Testing List** and email to txdwcedi@verisk.com for approval.

Stage 5: Test Completion (FROI and SROI)

Moving from Test to Production Status

DWC will review testing results and either approve or deny production status. You will be given instructions on how to proceed either way.

Production Readiness and Reporting

Test/Production Indicator
The Test/Production indicator (DN0104) located in position 82 of the header record must now be set to **'P'** for production. Data will be posted to the DWC Production Database.

Data Quality Requirements
Data sent to DWC will continue to be monitored for completeness and validity. If the transmission method or specifications are changed, you may be required to retest some or all transaction types.

Texas Claims Release 3.1.4 EDI Testing List

This list is at txdwcedi.info/impl-info.